



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/883,343	06/19/2001	Michael E. Stiles	C60007.1US	1463
24286	7590	08/09/2006	EXAMINER	
WILLIAM J BUNDREN THE LAW OFFICE OF WILLIAM J BUNDREN 734 LaRue Road Millersville, MD 21108			MOSHER, MARY	
			ART UNIT	PAPER NUMBER
			1648	

DATE MAILED: 08/09/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

5
UNITED STATES DEPARTMENT OF COMMERCE**U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
---------------------------------	-------------	---	---------------------

09/883 343

EXAMINER

ART UNIT

PAPER

20060807

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

In response to applicant's telephone call regarding the last Office action, the following corrective action is taken.

A copy of the office action mailed 5/9/2006, which was sent to the wrong address and returned, is enclosed.

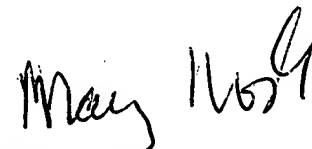
The period for reply of three (3) MONTHS set in said Office Action is restarted to begin with the mailing date of this letter.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mary E. Mosher, Ph.D. whose telephone number is 571-272-0906. The examiner can normally be reached on varying dates and times; please leave a message..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bruce Campell can be reached on 571-272-0974. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

8/7/06


MARY E. MOSHER, PH.D.
PRIMARY EXAMINER

Office Action Summary

Application No.

09/883,343

Applicant(s)

STILES ET AL.

Examiner

Mary E. Mosher, Ph.D.

Art Unit

1848

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 03 September 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 18, 20 and 37-49 is/are pending in the application.
- 4a) Of the above claim(s) 18, 20 and 41-49 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 37-40 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 June 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Priority

It is noted that this application appears to claim subject matter disclosed in prior Application No. 08/924629, filed 9/5/1997. A reference to the prior application must be inserted as the first sentence(s) of the specification of this application or in an application data sheet (37 CFR 1.76), if applicant intends to rely on the filing date of the prior application under 35 U.S.C. 119(e), 120, 121, or 365(c). See 37 CFR 1.78(a). For benefit claims under 35 U.S.C. 120, 121, or 365(c), the reference must include the relationship (i.e., continuation, divisional, or continuation-in-part) of all nonprovisional applications. Since the reference to the prior application was previously submitted within the time period set forth in 37 CFR 1.78(a), but not in the first sentence(s) of the specification or an application data sheet (ADS) as required by 37 CFR 1.78(a), and the information concerning the benefit claim was recognized by the Office as shown by its inclusion on the first filing receipt, a petition under 37 CFR 1.78(a) and the surcharge under 37 CFR 1.17(t) are not required. Applicant is still required to submit the reference in compliance with 37 CFR 1.78(a) by filing an amendment to the first sentence(s) of the specification or an ADS. See MPEP § 201.11.

Please note, this is also required to establish co-pendency with provisional application 60/026257.

In the interest of compact prosecution, this application has been treated as if it properly claimed benefit of priority to the above two applications. However, this

treatment does not relieve applicant of the burden of amending the specification or filing an ADS with the required information.

Election/Restrictions

Applicant's election of group I, species bacteriocin with divergicin A processing polypeptide, in the reply filed on 9/3/2003 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

Claims 18, 20, 41-47 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 9/23/2003.

Claim Rejections - 35 USC § 112

Claims 38-40 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 39 is confusing because it lacks antecedent for "said heterologous bacteriocin". Claim 38 is indefinite because of the recitation "an operable mutein thereof". The specification does not indicate what characteristics distinguish an operable mutein of the divergicin A processing peptide from any operable signal peptide or leader peptide. Therefore the metes and bounds of the claimed subject matter are unclear, and the claim is indefinite.

Claims 38-40 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to

Art Unit: 1648

one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. This is a "written description" rejection, directed at the genus of "operable muteins" recited in the claims. The specification fully describes the structure of the signal peptide of divergicin A. However, the specification does not teach the structure of any "operable muteins thereof", nor teach what parts of the structure are important for operability. Considering the unpredictable effects of any sequence change upon the function of this polypeptide, and the lack of any art-recognized correlation between the amino acid sequence and the functional activity of this polypeptide, it is concluded that the specification does not reasonably convey that applicants possessed the "operable muteins" recited in the claims.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 37-39 are rejected under 35 U.S.C. 102(a) as being anticipated by McCormick et al (Applied and Environmental Microbiology 62:4095-4099, 11/96). The reference teaches inhibiting the growth of susceptible bacteria in an environment, using cells transformed with a n expression vector encoding divergicin A signal peptide fused to the bacteriocin carnobacteriocin B2, and the immunity gene for the bacteriocin, see for example page 4097, first paragraph, Figure 2, and Table 2. The reference therefore meets each and every limitation of the claims.

Claims 37-39 are rejected under 35 U.S.C. 102(b) as being anticipated by Worobo et al (Journal of Bacteriology 177:3143-3149, 6/95). The reference teaches inhibiting the growth of susceptible bacteria in an environment, using cells transformed with an expression vector encoding divergicin A signal peptide, divergicin A bacteriocin, and divergicin immunity, see for example pRW5.6 transformants in Figure 3. The reference therefore meets each and every limitation of the claims.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim 40 is rejected under 35 U.S.C. 103(a) as being unpatentable over either McCormick et al (Applied and Environmental Microbiology 62:4095-4099, 11/96) or Worobo et al (Journal of Bacteriology 177:3143-3149, 6/95). On page 4099, McCormick

Art Unit: 1648

et al suggests production of lactic acid bacteria producing bacteriocins, combinations of bacteriocins, and use of the divergicin A signal peptide for expressing various bacteriocins from a single organism. Although the reference does not explicitly suggest placing the genes for two bacteriocins in the same vector, it would have been readily apparent to one of ordinary skill that it would be advantageous to place genes encoding a combination of different bacteriocins in a single vector. On page 3143 of Worobo et al, the reference discusses production of two or more bacteriocins within gene cassettes, and on page 3148 suggests fusions of the divergicin signal peptide with other bacteriocins for secretion without specific secretion and maturation proteins. It would have been within the ordinary skill of the art to carry out the suggestions made in the reference, to fuse the divergicin signal peptide to the structural gene of another bacteriocin in a gene cassette, and to combine two gene cassettes to produce two or more bacteriocins from a single vector. The invention as a whole is therefore prima facie obvious, absent unexpected results.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

Art Unit: 1648

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 37-40 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-3 of U.S. Patent No. 6403082. Although the conflicting claims are not identical, they are not patentably distinct from each other because the application claims (1) encompass the subject matter of the patented claims and/or (2) are drawn to embodiments of the patented subject matter which are obvious embodiments when the patent claims are viewed in light of the supporting disclosure.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mary E. Mosher, Ph.D. whose telephone number is 571-272-0906. The examiner can normally be reached on Monday-Thursday and alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Housel can be reached on 571-272-0902. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1648

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

5/5/06


MARY E. MOSHER, PH.D.
PRIMARY EXAMINER